UNITED STATES PATENT AND TRADEMARK OFFICE

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EXAMINER
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ART UNIT	PAPER NUMBER
2431	

NOTIFICATION DATE	DELIVERY MODE
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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	Application No.	Applicant(s)
Office Action Summary	10/720,214	YEH ET AL.
	Examiner	Art Unit	
	MATTHEW T. HENNING	2431	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE *3* MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.
- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1) ☒ Responsive to communication(s) filed on *19 June 2008*.
2a) ☒ This action is **FINAL**.   2b) ☐ This action is non-final.
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4) ☒ Claim(s) *1-39* is/are pending in the application.
    4a) Of the above claim(s) _____ is/are withdrawn from consideration.
5) ☐ Claim(s) _____ is/are allowed.
6) ☒ Claim(s) *1-39* is/are rejected.
7) ☐ Claim(s) _____ is/are objected to.
8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9) ☐ The specification is objected to by the Examiner.
10) ☒ The drawing(s) filed on *19 June 2008* is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
    Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
    Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
    a) ☒ All   b) ☐ Some *   c) ☐ None of:
      1. ☒ Certified copies of the priority documents have been received.
      2. ☐ Certified copies of the priority documents have been received in Application No. _____.
      3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
    * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) ☒ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
    Paper No(s)/Mail Date _____.

4) ☐ Interview Summary (PTO-413)
    Paper No(s)/Mail Date. _____.
5) ☐ Notice of Informal Patent Application
6) ☐ Other: _____.

1   This action is in response to the communication filed on 6/19/2008.

2   **DETAILED ACTION**

3   *Response to Arguments*

4   Applicant's arguments filed 6/19/2008 have been fully considered but they are not

5 persuasive.

6   The applicants argue primarily that Tan did not teach or suggest dynamically maintaining

7 a balance between security level and processing speed. The examiner does not find this

8 argument persuasive. First, after reviewing the instant specification, the only support for this

9 newly claimed limitation that the examiner can find is a brief mention in the background of the

10 invention that states that "how to find the balance between security level and processing speed is

11 an important topic in the industry". The remainder of the specification is silent as to "processing

12 speed", and rather only discusses dynamically selecting encryption algorithm module

13 combinations. Second, Tan disclosed controlling the speed of encryption by using combinations

14 of simple encryption modules which are dynamically selected. This meets the limitation of the

15 claim language. Further, in response to applicant's argument that the references fail to show

16 certain features of applicant's invention, it is noted that the features upon which applicant relies

17 (i.e., use of complex algorithms; use of conventional algorithms) are not recited in the rejected

18 claim(s). Although the claims are interpreted in light of the specification, limitations from the

19 specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26

20 USPQ2d 1057 (Fed. Cir. 1993).

21   All objections and rejections not set forth below have been withdrawn.

22   Claims 1-39 have been examined.

1   *Title*

2   The title of the invention is acceptable.

3   *Claim Rejections - 35 USC § 102*

4   The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the

5   basis for the rejections under this section made in this Office action:

6   *A person shall be entitled to a patent unless –*

7   *(e) the invention was described in (1) an application for patent, published under section*
8   *122(b), by another filed in the United States before the invention by the applicant for patent or*
9   *(2) a patent granted on an application for patent by another filed in the United States before the*
10   *invention by the applicant for patent, except that an international application filed under the*
11   *treaty defined in section 351(a) shall have the effects for purposes of this subsection of an*
12   *application filed in the United States only if the international application designated the United*
13   *States and was published under Article 21(2) of such treaty in the English language.*
14
15   Claims 7, 9, 11, and 29-36 are rejected under 35 U.S.C. 102(e) as being anticipated by

16   Tan (US Patent Number 6,490,353).

17   Regarding claim 7, Tan disclosed a data encryption method, the method comprising:

18   constructing encryption definition data containing a plurality of encryption algorithm module

19   indicators (See Tan Col. 8 Lines 15-24); inputting digital data to be encrypted (See Tan Col. 8

20   Lines 38-54); from the encryption definition data, selecting at random an encryption algorithm

21   module indicator (See Tan Col. 10 Lines 37-55); with the selected encryption algorithm module

22   indicator as a guide, controlling encryption processing of the inputted digital data (See Tan Col.

23   10 Lines 37-55), wherein the selected encryption algorithm module indicator dynamically

24   maintains a balance between security level and processing speed (See Tan Col. 10 Lines 37-55);

25   and appending decryption information to the digital data that has undergone encryption

26   processing for subsequent output (See Tan Col. 4 Lines 7-23).

1   Regarding claim 9, Tan disclosed that the constructed encryption definition data includes

2   a plurality of encryption algorithm module combinations, each of the encryption algorithm

3   module combinations including an encryption algorithm module indicator and an authentication

4   algorithm module indicator, an encryption algorithm module combination being selected at

5   random from the retrieved encryption definition data, the selected encryption algorithm module

6   combination being used as a guide for controlling encryption processing, including the type of

7   encryption and the type of authentication, of the inputted digital data (See Tan Col. 7 Lines 13-

8   25).

9   Regarding claim 11, Tan disclosed a data encryption method, the method comprising the:

10   constructing an encryption module database for storing a plurality of entries of records of data,

11   each of the entries of records containing an encryption algorithm module indicator and an

12   authentication algorithm module indicator (See Tan Col. 7 Lines 13-25 and Col. 8 Lines 15-24);

13   constructing encryption definition data which includes a plurality of encryption module database

14   indexes (See Tan Col. 8 Lines 15-24); inputting digital data to be encrypted(See Tan Col. 8 Lines

15   38-54); from the encryption definition data, selecting at random an encryption module database

16   index (See Tan Col. 10 Lines 37-55); according to the retrieved encryption module database

17   index, selecting an entry of record from the encryption module database (See Tan Col. 10 Lines

18   37-55); with the selected entry of record as a guide, controlling encryption processing, including

19   the type of encryption and the type of authentication, of the inputted digital data (See Tan Col.

20   10 Lines 37-55) , wherein the selected encryption algorithm module indicator dynamically

21   maintains a balance between security level and processing speed (See Tan Col. 10 Lines 37-55);

1   and appending decryption information to the digital data that has undergone encryption for

2   subsequent output (See Tan Col. 4 Lines 7-23).

3   Regarding claim 29, Tan disclosed a data decryption method, the method comprising:

4   inputting digital data to be decrypted (See Tan Col. 10 Line 64 – Col. 11 Line 4); inspecting to

5   determine whether the digital data includes a decryption algorithm module indicator and, upon

6   an affirmative determination, retrieving the decryption algorithm module indicator and, upon a

7   negative determination, setting the data to be decrypted as equivalent to inputted data for

8   subsequent processing (See Tan Col. 13 Lines 4-39 and Col. 8 Lines 3-6); with the retrieved

9   decryption algorithm module indicator as a guide, controlling decryption processing of the

10   inputted digital data (See Tan Col. 13 Lines 4-39) , wherein the retrieved decryption algorithm

11   module indicator dynamically maintains a balance between security level and processing speed

12   (See Tan Col. 10 Lines 37-55); and outputting the digital data that has undergone decryption

13   (See Tan Col. 13 Lines 4-39).

14   Regarding claim 31, Tan disclosed a data decryption method, the method comprising:

15   constructing a decryption module database for storing a plurality of entries of records of data,

16   each of the entries of records being a decryption algorithm module indicator (See Tan Col. 4

17   Lines 7-23); inputting digital data to be decrypted (See Tan Col. 10 Line 64 – Col. 11 Line 4);

18   inspecting to determine whether the digital data includes a decryption module database index

19   and, upon an affirmative determination, retrieving the decryption module database index or,

20   upon a negative determination, setting the data to be decrypted as equivalent to inputted data for

21   subsequent processing (See Tan Col. 13 Lines 4-39 and Col. 8 Lines 3-6); with the retrieved

22   decryption module database index as a guide, selecting an entry of record from the decryption

1   module database (See Tan Col. 13 Lines 4-39); with the selected entry of record as a guide,

2   controlling decryption processing of the inputted digital data (See Tan Col. 13 Lines 4-39) ,

3   wherein the retrieved decryption algorithm module indicator dynamically maintains a balance

4   between security level and processing speed (See Tan Col. 10 Lines 37-55); and outputting the

5   digital data that has undergone decryption (See Tan Col. 13 Lines 4-39).

6   Regarding claim 33, Tan disclosed a data decryption apparatus, the apparatus having an

7   input portion for input of data and an output portion for output of data after decryption

8   processing thereof (See Tan Col. 10 Line 64 – Col. 11 Line 4), the apparatus further comprising:

9   an inspecting portion for inspecting whether the data inputted via the input portion includes a

10   decryption algorithm module indicator and, upon an affirmative inspection result, retrieving the

11   decryption algorithm module indicator or, upon a negative inspection result, transmitting the

12   inputted data directly to the output portion (See Tan Col. 13 Lines 4-39 and Col. 8 Lines 3-6);

13   and a decryption processing portion for controlling decryption processing of the inputted digital

14   data using the decryption algorithm module indicator retrieved by the inspecting portion as a

15   guide (See Tan Col. 13 Lines 4-39) , wherein the retrieved decryption algorithm module

16   indicator dynamically maintains a balance between security level and processing speed (See Tan

17   Col. 10 Lines 37-55).

18   Regarding claims 30, 32, and 34, Tan disclosed that the inspecting portion inspects

19   whether the data inputted via the input port ion includes a decryption algorithm module

20   combination, the decryption algorithm module combination including a decryption algorithm

21   module indicator and an authentication algorithm module indicator, and, upon an affirmative

22   determination, retrieves the decryption algorithm module combination or, upon a negative

1   determination, transmitting directly the inputted data to the output portion, the decryption

2   processing portion controlling the decryption processing, including the type of decryption and

3   the type of authentication, of the inputted digital data using the decryption algorithm module

4   indicator retrieved by the inspecting portion as a guide (See Tan Col. 7 Lines 13-25).

5   Regarding claim 35, Tan disclosed a decryption module database for storing a plurality of

6   entries of records of data, each of the entries of records containing a decryption algorithm

7   module indicator, the inspecting portion inspecting whether the data inputted via the input

8   portion includes a decryption module database index and, upon an affirmative inspection result,

9   retrieving the decryption module database index and further retrieving an entry of record from

10   the decryption module database using the index and, upon a negative inspection result, directly

11   transmitting the inputted data to the output portion, the decryption processing portion controlling

12   the decryption processing of the inputted digital data using the entry of record retrieved by the

13   inspecting portion as a guide (See Tan Col. 4 Lines 7-23 and Col. 13 Lines 4-39).

14   Regarding claim 36, Tan disclosed that the decryption module database stores a plurality

15   of entries of records of data, each of the entries of records containing a decryption algorithm

16   module indicator and an authentication algorithm module indicator, the decryption processing

17   portion controlling decryption processing, including the type of decryption and the type of

18   authentication, using the entry of record retrieved by the inspecting portion as a guide (See Tan

19   Col. 7 Lines 13-25).

20

21

1   *Claim Rejections - 35 USC § 103*

2   The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

3   obviousness rejections set forth in this Office action:

4   *A patent may not be obtained though the invention is not identically disclosed or*
5   *described as set forth in section 102 of this title, if the differences between the subject matter*
6   *sought to be patented and the prior art are such that the subject matter as a whole would have*
7   *been obvious at the time the invention was made to a person having ordinary skill in the art to*
8   *which said subject matter pertains. Patentability shall not be negatived by the manner in which*
9   *the invention was made.*
10
11
12   Claims 1, 3, 5, 13-15, 18, 20, 22, 25, 28, and 37-38 are rejected under 35 U.S.C. 103(a) as

13   being unpatentable over Tan (US Patent Number 6,490,353).

14   Regarding claim 1, Tan disclosed a data encryption method (See Tan Fig. 13), the

15   method comprising: constructing a security class database for storing a plurality of entries of

16   records of data (See Tan Col. 8 Lines 18-24 pool of securithms), each of the entries of records

17   including a corresponding encryption definition field, the encryption definition field including a

18   plurality of encryption algorithm module indicators (See Tan Col. 7 Line 65 – Col. 8 Line 37);

19   inputting digital data to be encrypted (See Tan Col. 8 Lines 38-54); from the security class

20   database, retrieving the corresponding encryption definition data (See Tan Col. 8 Lines 15-25

21   Library); from the retrieved encryption definition data, selecting at random an encryption value

22   related to an algorithm module indicator (See Tan Col. 10 Lines 37-55); with the selected

23   encryption algorithm module indicator as a guide, controlling encryption processing of the

24   inputted digital data (See Tan Col. 10 Lines 37-55) , wherein the selected encryption algorithm

25   module indicator dynamically maintains a balance between security level and processing speed

26   (See Tan Col. 10 Lines 37-55); and appending decryption information to the digital data that has

1   undergone encryption processing for subsequent output (See Tan Col. 4 Lines 7-23), but Tan

2   failed to disclose each record also including a data attribute description field; or finding a data

3   attribute description that matches attribute of the digital data. However, Tan did disclose that the

4   choice of complexity of the securithms might be determined by the user based on the security

5   and sensitivity level of the data in part, or in whole, purpose of the communication, or other

6   factors or policies, and that depending on the requirements of the application, users, or policy a

7   library of the securithms from the pool are arbitrarily selected (See Tan Col. 8 Lines 15-25).

8   It would have been obvious to the ordinary person skilled in the art at the time of

9   invention to have included an indication of the complexity level of each securithm in the pool.

10   This would have been obvious because the ordinary person skilled in the art would have been

11   motivated to allow the system to easily identify the complexity of each securithm when

12   determining which securithms were complex enough for the policy regarding the data being

13   encrypted.

14   Regarding claim 5, Tan disclosed a data encryption method, the method comprising :

15   constructing an encryption module database for storing a plurality of entries of records of data,

16   each of the entries of records containing an encryption algorithm module indicator and an

17   authentication algorithm module indicator (See Tan Col. 8 Lines 18-24 pool and Col. 7 Lines 14-

18   25); inputting digital data to be encrypted (See Tan Col. 8 Lines 38-54); finding each data

19   attribute description that matches an attribute of the digital data, and retrieving the corresponding

20   encryption definition data (See Tan Col. 8 Lines 15-25 Library); from the retrieved encryption

21   definition data, selecting at random an encryption module database index (See Tan Col. 10 Lines

22   37-55); according to the retrieved encryption module database index, selecting an entry of

1   record from the encryption module database (See Tan Col. 10 Lines 37-55); with the selected

2   entry of record as a guide, controlling encryption processing, including the type of encryption

3   and the type of authentication, of the inputted digital data (See Tan Col. 10 Lines 37-55) ,

4   wherein the selected encryption algorithm module indicator dynamically maintains a balance

5   between security level and processing speed (See Tan Col. 10 Lines 37-55); and appending

6   decryption information to the digital data that has undergone encryption processing for

7   subsequent output (See Tan Col. 4 Lines 7-23), but Tan failed to disclose constructing a security

8   class database for storing a plurality of entries of records of data, each of the entries of records

9   containing a data attribute description field and a corresponding encryption definition field, the

10 encryption definition field including a plurality of encryption module database indexes.

11 However, Tan did disclose that the choice of complexity of the securithms might be determined

12 by the user based on the security and sensitivity level of the data in part, or in whole, purpose of

13 the communication, or other factors or policies, and that depending on the requirements of the

14 application, users, or policy a library of the securithms from the pool are arbitrarily selected (See

15 Tan Col. 8 Lines 15-25).

16   It would have been obvious to the ordinary person skilled in the art at the time of

17 invention to have included an indication of the complexity level of each securithm in the pool.

18 This would have been obvious because the ordinary person skilled in the art would have been

19 motivated to allow the system to easily identify the complexity of each securithm when

20 determining which securithms were complex enough for the policy regarding the data being

21 encrypted.

1   Regarding claim 13, Tan disclosed a data encryption method, the method comprising :

2   constructing a security class database for storing a plurality of entries of records of data, each of

3   the entries of records containing a corresponding encryption definition field, the encryption

4   definition data field being an encryption algorithm module indicator (See Tan Col. 8 Lines 15-

5   25); inputting digital data to be encrypted (See Tan Col. 8 Lines 38-54); retrieving the

6   encryption algorithm module indicator of the corresponding encryption definition field (See Tan

7   Col. 8 Lines 15-25); with the selected encryption algorithm module indicator as a guide,

8   controlling encryption processing of the inputted digital data (See Tan Col. 10 Lines 37-55) ,

9   wherein the selected encryption algorithm module indicator dynamically maintains a balance

10 between security level and processing speed (See Tan Col. 10 Lines 37-55); and appending

11 decryption information to the digital data that has undergone encryption processing for

12 subsequent output(See Tan Col. 4 Lines 7-23), but Tan failed to disclose each of the entries of

13 records containing a data attribute description field; or from the security class database, finding

14 each data attribute description that matches an attribute of the digital data. However, Tan did

15 disclose that the choice of complexity of the securithms might be determined by the user based

16 on the security and sensitivity level of the data in part, or in whole, purpose of the

17 communication, or other factors or policies, and that depending on the requirements of the

18 application, users, or policy a library of the securithms from the pool are arbitrarily selected (See

19 Tan Col. 8 Lines 15-25).

20   It would have been obvious to the ordinary person skilled in the art at the time of

21 invention to have included an indication of the complexity level of each securithm in the pool.

22 This would have been obvious because the ordinary person skilled in the art would have been

1   motivated to allow the system to easily identify the complexity of each securithm when

2   determining which securithms were complex enough for the policy regarding the data being

3   encrypted.

4   Regarding claim 15, Tan disclosed a data encryption method, the method including :

5   constructing an encryption module database for storing a plurality of entries of records of data,

6   each of the entries of records containing an encryption algorithm module indicator and an

7   authentication algorithm module indicator (See Tan Col. 8 Lines 15-25); inputting digital data to

8   be encrypted (See Tan Col. 8 Lines 38-54); retrieving the encryption module database index

9   from the corresponding encryption definition field (See Tan Col. 8 Lines 15-25); with the

10   retrieved encryption module database index as a guide, selecting an entry of record from the

11   encryption module database (See Tan Col. 8 Lines 38-54); with the selected entry of record as a

12   guide, controlling encryption processing, including the type of encryption and the type of

13   authentication, of the inputted digital data (See Tan Col. 8 Lines 38-54) , wherein the selected

14   encryption algorithm module indicator dynamically maintains a balance between security level

15   and processing speed (See Tan Col. 10 Lines 37-55); and appending decryption information to

16   the digital data that has undergone encryption processing for subsequent output (See Tan Col. 4

17   Lines 7-23) however, Tan failed to disclose constructing a security class database for storing a

18   plurality of entries of records of data, each of the entries of records containing a data attribute

19   description field and a corresponding encryption definition field, the encryption definition data

20   field being an encryption module database index; or from the security class database, finding

21   each data attribute description that matches attribute an of the digital data, and retrieving the

22   encryption module database index from the corresponding encryption definition field. However,

1   Tan did disclose that the choice of complexity of the securithms might be determined by the user

2   based on the security and sensitivity level of the data in part, or in whole, purpose of the

3   communication, or other factors or policies, and that depending on the requirements of the

4   application, users, or policy a library of the securithms from the pool are arbitrarily selected (See

5   Tan Col. 8 Lines 15-25).

6   It would have been obvious to the ordinary person skilled in the art at the time of

7   invention to have included an indication of the complexity level of each securithm in the pool,

8   and selecting the securithm based upon an appropriate complexity level required for the input

9   data. This would have been obvious because the ordinary person skilled in the art would have

10   been motivated to allow the system to easily identify the complexity of each securithm when

11   determining which securithms were complex enough for the policy regarding the data being

12   encrypted.

13   Regarding claim 16, Tan disclosed a data encryption apparatus, the apparatus having an

14   input portion for input of data and an output portion for output of data after encryption

15   processing thereof, the apparatus further comprising: a security class database for storing a

16   plurality of entries of records of data, a corresponding encryption definition field, the encryption

17   definition field including a plurality of encryption algorithm module indicators (See Tan Col. 8

18   Lines 15-25); an attribute inspecting portion for finding from the security class database each

19   data attribute description that matches an attribute of the digital data sent from the inspecting

20   portion and for transmitting the corresponding encryption definition data to a encryption

21   selecting portion (See Tan Col. 8 Lines 15-25); the encryption selecting portion, selecting at

22   random an encryption algorithm module indicator from the retrieved encryption definition data

1   (See Tan Col. 8 Lines 38-54); and an encryption processing portion for controlling encryption

2   processing of the inputted digital data using the encryption algorithm module indicator selected

3   by the encryption selecting portion as a guide (See Tan Col. 8 Lines 38-54) , wherein the

4   selected encryption algorithm module indicator dynamically maintains a balance between

5   security level and processing speed (See Tan Col. 10 Lines 37-55), but Tan failed to specifically

6   disclose each of the entries of records containing a data attribute description field; an inspecting

7   portion for inspecting and separating the data inputted via the input portion into parameter data

8   or digital data; a parameter processing portion for updating the security class database with the

9   parameter data sent from the inspecting portion. However, Tan did disclose that the choice of

10   complexity of the securithms might be determined by the user based on the security and

11   sensitivity level of the data in part, or in whole, purpose of the communication, or other factors

12   or policies, and that depending on the requirements of the application, users, or policy a library

13   of the securithms from the pool are arbitrarily selected (See Tan Col. 8 Lines 15-25).

14   It would have been obvious to the ordinary person skilled in the art at the time of

15   invention to have included an indication of the complexity level of each securithm in the pool, to

16   have automatically determined the input data type and selecting the securithm based upon an

17   appropriate complexity level required for the input data type. This would have been obvious

18   because the ordinary person skilled in the art would have been motivated to allow the system to

19   easily identify the complexity of each securithm when determining which securithms were

20   complex enough for the policy regarding the data type being encrypted.

21   Regarding claim 23, Tan disclosed a data encryption apparatus, the apparatus having an

22   input portion for input of data and an output portion for output of data after encryption

1   processing thereof, the apparatus further comprising: a encryption module database for storing a

2   plurality of entries of records of data, each of the entries of records containing an encryption

3   algorithm module indicator (See Tan Col. 8 Lines 15-25); a encryption selecting portion for

4   selecting at random an entry of record from the encryption module database (See Tan Col. 8

5   Lines 38-54); and an encryption processing portion for controlling encryption processing of the

6   inputted digital data using the entry of record selected by the encryption selecting portion as a

7   guide (See Tan Col. 8 Lines 38-54) , wherein the selected encryption algorithm module indicator

8   dynamically maintains a balance between security level and processing speed (See Tan Col. 10

9   Lines 37-55), but Tan failed to specifically disclosed an inspecting portion for inspecting and

10   separating the data inputted via the input portion into parameter data or digital data; a parameter

11   processing portion for updating the encryption module database using the parameter data from

12   the inspecting portion. However, Tan did disclose that the choice of complexity of the

13   securithms might be determined by the user based on the security and sensitivity level of the data

14   in part, or in whole, purpose of the communication, or other factors or policies, and that

15   depending on the requirements of the application, users, or policy a library of the securithms

16   from the pool are arbitrarily selected (See Tan Col. 8 Lines 15-25).

17   It would have been obvious to the ordinary person skilled in the art at the time of

18   invention to have included an indication of the complexity level of each securithm in the pool,

19   and selecting the securithm based upon an appropriate complexity level required for the input

20   data. This would have been obvious because the ordinary person skilled in the art would have

21   been motivated to allow the system to easily identify the complexity of each securithm when

1   determining which securithms were complex enough for the policy regarding the data being

2   encrypted.

3   Regarding claim 27, Tan disclosed a data encryption apparatus, the apparatus having an

4   input portion for input of data and an output portion for output of data after encryption

5   processing thereof, the apparatus further comprising: a security class database for storing a

6   plurality of entries of records of data, each of the entries of records containing a corresponding

7   encryption definition field, the encryption definition field being an encryption algorithm module

8   indicator (See Tan Col. 8 Lines 15-25); and the encryption processing portion for controlling

9   encryption processing of the inputted digital data using the encryption algorithm module

10   indicator selected as a guide (See Tan Col. 8 Lines 38-54) , wherein the selected encryption

11   algorithm module indicator dynamically maintains a balance between security level and

12   processing speed (See Tan Col. 10 Lines 37-55), but Tan failed to specifically disclose a security

13   class database for storing a plurality of entries of records of data, each of the entries of records

14   containing a data attribute description field and an inspecting portion for inspecting and

15   separating the data inputted via the input portion into parameter data or digital data; a parameter

16   processing portion for updating the security class database with the parameter data from the

17   inspecting portion; an attribute inspecting portion for finding from the security class database

18   each data attribute description that matches an attribute of the digital data sent from the

19   inspecting portion and for transmitting the corresponding encryption definition data to an

20   encryption processing portion. However, Tan did disclose that the choice of complexity of the

21   securithms might be determined by the user based on the security and sensitivity level of the data

22   in part, or in whole, purpose of the communication, or other factors or policies, and that

1   depending on the requirements of the application, users, or policy a library of the securithms

2   from the pool are arbitrarily selected (See Tan Col. 8 Lines 15-25).

3   It would have been obvious to the ordinary person skilled in the art at the time of

4   invention to have included an indication of the complexity level of each securithm in the pool,

5   and selecting the securithm based upon an appropriate complexity level required for the input

6   data. This would have been obvious because the ordinary person skilled in the art would have

7   been motivated to allow the system to easily identify the complexity of each securithm when

8   determining which securithms were complex enough for the policy regarding the data being

9   encrypted.

10   Regarding claims 3, 14, 18, 25, and 28, Tan disclosed that the encryption definition field

11   in the security class database constructed in step A is an encryption algorithm module

12   combination, the encryption algorithm module combination including an encryption algorithm

13   module indicator and an authentication algorithm module indicator, data of an encryption

14   algorithm module combination of the corresponding encryption definition field being retrieved in

15   the step C of finding from the security class database the data attribute description that matches

16   the attribute of the digital data, the selected encryption algorithm module combination being used

17   in step D as a guide for controlling encryption processing, including the type of encryption and

18   the type of authentication, of the inputted digital data (See Tan Col. 7 Lines 13-25).

19   Regarding claim 20, Tan disclosed an encryption module database for storing a plurality

20   of entries of records of data, each of the entries of records containing an encryption algorithm

21   module indicator and an authentication algorithm module indicator(See Tan Col. 7 Lines 13-25);

22   the encryption definition field of the security class database including a plurality of encryption

1   module database indexes(See Tan Col. 8 Lines 15-25); the encryption selecting portion selecting

2   at random an encryption module database index from the retrieved encryption definition data

3   and, according to the retrieved encryption module database index, and selecting an entry of

4   record from the encryption module database(See Tan Col. 8 Lines 38-54); the encryption

5   processing portion using the entry of record selected by the encryption selecting portion as a

6   guide to control encryption processing, including the type of encryption and the type of

7   authentication, of the inputted digital data(See Tan Col. 8 Lines 38-54), wherein the selected

8   entry of record dynamically maintains a balance between security level and processing speed

9   (See Tan Col. 10 Lines 37-55).

10   Regarding claim 22, Tan disclosed that the parameter processing portion updates the

11   security class database and the encryption module database using the parameter data sent from

12   the inspecting portion (See Tan Col. 8 Lines 15-25).

13   Regarding claim 37, Tan disclosed the claimed decryption system including inspecting

14   whether the digital data includes a decryption module database index and, upon an affirmative

15   inspection result, retrieving the decryption module database index and further retrieving an entry

16   of record from the decryption module database using the index and, upon a negative inspection

17   result, directly transmitting the inputted data to the output portion (See Tan Col. 8 Lines 3-25 and

18   Col. 13 Lines 4-39) but failed to specifically disclose a parameter processing portion for

19   updating the decryption module database using parameter data, the inspecting portion inspecting

20   and separating the data inputted via the input portion into parameter data or digital data and, if

21   the inputted data is parameter data, transmitting the same to the parameter processing portion

22   and, if the inputted data is digital data. However, Tan did disclose that the choice of complexity

1   of the securithms might be determined by the user based on the security and sensitivity level of

2   the data in part, or in whole, purpose of the communication, or other factors or policies, and that

3   depending on the requirements of the application, users, or policy a library of the securithms

4   from the pool are arbitrarily selected (See Tan Col. 8 Lines 15-25).

5   It would have been obvious to the ordinary person skilled in the art at the time of

6   invention to have included an indication of the complexity level of each securithm in the pool,

7   and selecting the securithm based upon an appropriate complexity level required for the input

8   data. This would have been obvious because the ordinary person skilled in the art would have

9   been motivated to allow the system to easily identify the complexity of each securithm when

10   determining which securithms were complex enough for the policy regarding the data being

11   encrypted.

12   Regarding claim 38, Tan disclosed the decryption module database stores a plurality of

13   entries of records of data, each of the entries of records containing a decryption algorithm

14   module indicator and an authentication algorithm module indicator, the decryption processing

15   portion controlling decryption processing, including the type of decryption and the type of

16   authentication, of the inputted digital data using the entry of record retrieved by the inspecting

17   portion as a guide (See Tan Col. 7 Lines 13-25 and Col. 13 Lines 4-39).

18   Claims 2, 4, 6, 8, 10, 12, 17, 19, 21, 24, 26, and 39 are rejected under 35 U.S.C. 103(a) as

19   being unpatentable over Tan as applied to claims 1, 5, 7, 11, 16, 23, and 27 above, and further in

20   view of Kim et al. (US Patent Number 6,499,127) hereinafter referred to as Kim.

21   Tan disclosed randomly selecting one algorithm from a set of algorithms randomly and

22   that the encryption definition field in the security class database constructed in step A includes a

1   plurality of encryption algorithm module indicators and corresponding proportions adopted

2   thereby (See Tan Col. 8 Lines 15-25 and Col. 9 Lines 34-40), but failed to specifically disclose

3   an encryption algorithm module indicator being selected from the retrieved encryption definition

4   data in step D according to each of the encryption algorithm module indicators and the

5   corresponding proportions adopted thereby in cooperation with a random number generator and a

6   MOD operation.

7   Kim teaches a method for selecting a number in a range randomly comprising

8   determining the size of the range, generating a random number, and taking the random number

9   modulo the size of the range (See Kim Col. 23 Paragraph 1).

10   It would have been obvious to the ordinary person skilled in the art at the time of

11   invention to employ the teachings of Kim in the random algorithm system of Tan by selecting

12   the algorithm randomly from the seed by generating a random number and then taking the

13   random number MOD the number of entries in the seed. This would have been obvious because

14   the ordinary person skilled in the art would have been motivated to select the algorithm

15   randomly as taught by Tan.

16   Regarding claim 39, Tan disclosed that the parameter processing portion updates the

17   security class database and the encryption module database using the parameter data sent from

18   the inspecting portion (See Tan Col. 8 Lines 15-25).

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1   *Conclusion*

2   Claims 1-39 have been rejected.

3   **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time

4   policy as set forth in 37 CFR 1.136(a).

5   A shortened statutory period for reply to this final action is set to expire THREE

6   MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

7   MONTHS of the mailing date of this final action and the advisory action is not mailed until after

8   the end of the THREE-MONTH shortened statutory period, then the shortened statutory period

9   will expire on the date the advisory action is mailed, and any extension fee pursuant to 37

10   CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

11   however, will the statutory period for reply expire later than SIX MONTHS from the mailing

12   date of this final action.

13   Any inquiry concerning this communication or earlier communications from the

14   examiner should be directed to MATTHEW T. HENNING whose telephone number is

15   (571)272-3790. The examiner can normally be reached on M-F 8-4.

16   If attempts to reach the examiner by telephone are unsuccessful, the examiner's

17   supervisor, Kim Vu can be reached on (571) 272-3859. The fax phone number for the

18   organization where this application or proceeding is assigned is 571-273-8300.

1   Information regarding the status of an application may be obtained from the Patent

2   Application Information Retrieval (PAIR) system. Status information for published applications

3   may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

4   applications is available through Private PAIR only. For more information about the PAIR

5   system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

6   system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

7   like assistance from a USPTO Customer Service Representative or access to the automated

8   information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

9

10   /Matthew T Henning/

11   Examiner, Art Unit 2431

12

13   /Christopher A. Revak/

14   Primary Examiner, Art Unit 2431